

Northwestern Postdoctoral Fellow, Student, and Research Visitor Appointments

This table contains a summary of the postdoctoral fellow, student, and research visitor appointments included in this document. For more information regarding appointment rules, data setup, and supplemental pay for each appointment, refer to the referenced page in each description.

Comments? Please send questions, concerns, or suggestions regarding this document to askHR@northwestern.edu.

Job Title	Job Code	Position Type/ Category	Pay Group	Payroll Expense Account	See Page
Graduate Student Appointments					
Graduate Assistant (GA)	100038 (TGS) 102829 (Non-TGS)	STU/GRA	MGW	60078 (TGS) * 60079 (Non-TGS) *	2
Research Assistant (RA)	100023 (TGS) 102830 (Non-TGS)	STU/GRA	MGW	60076 (TGS) * 60077 (Non-TGS) *	3
Teaching Assistant (TA)	100010 (TGS) TBA (Non-TGS)	STU/GRA	MGW	60070 (TGS) 60079 (Non-TGS) *	4
Fellowship (Internally Funded)	100009	STU/GRA	MGW	78060 †	5
Fellowship (Externally Funded)	108238	STU/GRA	MGW	78050	6
Northwestern Postdoctoral Appointments					
Postdoctoral Fellow	100006	STF/EXM	MON	60030 *	7
Postdoctoral Fellow, Department of Education	105565	NON/PDC	MON	78080 †	8
NRSA Recipients					
NRSA Postdoctoral Fellow	100091	NON/PDC	MON	78080 †	9
NRSA Senior Fellow	104091	FAC/REG	MOF	78080 †	10
Visiting Research Appointments					
Visiting Pre-Doctoral Fellow	100016	NON/VSC	OTH	N/A	11
Visiting Postdoctoral Fellow	103073	NON/VSC	OTH	N/A	12
Visiting Scholar	100007	NON/VSC	OTH	N/A	13
Other Research Appointments					
REU Trainee	101856	STU/UNG	MGW	78010 †	14
Non-NRSA GME Interns/Residents	N/A	N/A	N/A	78084	15
Temporary Appointments					
Temp Center Employee	104198	N/A	BIT	60113 *	16
Temp Non-Student	100020	N/A	BIT	60111 *	16
Temp Student	100029	N/A	BIT	60120 *	16
Temp Student (Graduate Union)	108349	N/A	BIT	60120 *	16
Work-Study Student	various	N/A	BIT	60121-60125 *	16

Tax and Withholding Information:

The following symbols indicate which account codes listed in this manual are taxable and/or subject to withholding.

* All funding accounts beginning with 6xxx are taxable and subject to federal and state withholding.

† Accounts 78010, 78050, 78060 and 78080 are taxable, but not reportable; they are not automatically subject to withholding.

‡ Account 78020 is not taxable.

TGS vs Non-TGS Distinction:

TGS students fall under The Graduate School, which would include **all PhD students** and some but not all masters students. For fellowships/scholarships it does not matter but for assistantships it is important that TGS and non-TGS positions are separated out because assistantships for TGS students incur fringe benefits charges, but non-TGS positions do not. This is because TGS students receive a health insurance subsidy and some other benefits while non-TGS students do not.

Graduate Assistant (GA)

Graduate Student providing services such as grading, tutoring, proctoring or administrative responsibilities but not including instruction or research duties.

Health Insurance: Eligible through Risk Management. TGS subsidizes up to 100% of the annual health premium for TGS PhD and MFA students, enrolled full-time in funded registration and a 65% subsidy for full-time TGS master’s students; all others are responsible for the full premium. Dental and vision insurance is optional.

Primary Appointment						
Student Affiliation	Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
TGS	Maximum 20 hours/week. Scope of services is broad but does not include instruction or research.	100038	STU/GRA	MGW	60078* (Stipend – Payroll) 78020‡ (Tuition – SES)	No
Non-TGS	Maximum 15-20 hours/week. Must not include instruction or research work.	102829	STU/GRA	MGW	60079* (Stipend – Payroll) 78020‡ (Tuition – SES)	No

Additional Compensation for Services	
Allowed?	Rules
Yes, with permission.	TGS PhD and MFA students must request permission for any additional work beyond the GA responsibilities. The student may perform additional work, as long as the total from all roles does not exceed 20 hours/week. Additional work may be paid from a sponsored account if allowed by the sponsor.

Research Assistant (RA)

Graduate student providing research services.

Health Insurance: Eligible through Risk Management. TGS subsidizes up to 100% of the health premium for TGS PhD and MFA students, enrolled full-time in funded registration and a 65% subsidy for full-time TGS master’s students; all others are responsible for the full premium. Dental and vision insurance is optional.

Primary Appointment						
Student Affiliation	Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
TGS	Maximum 20 hours/week.	100023	STU/GRA	MGW	60076* (Stipend – Payroll) 78020‡ (Tuition – SES)	Yes; effort report <i>is</i> generated.
Non-TGS	None	102830	STU/GRA	MGW	60077* (Stipend – Payroll) 78020‡ (Tuition – SES)	Yes; effort report <i>is</i> generated.

Additional Compensation for Services	
Allowed?	Rules
Yes	TGS PhD and MFA students must request permission for any additional work beyond the RA responsibilities. The student may perform additional work, as long as the total from all roles does not exceed 20 hours/week. Additional work may be paid from a sponsored account if allowed by the sponsor.

Teaching Assistant (TA)

Graduate student providing instruction services.

Health Insurance: Eligible through Risk Management. TGS subsidizes up to 100% of the annual health premium for TGS PhD and MFA students, enrolled full time in funded registration and a 65% subsidy for full-time TGS master’s students; all others are responsible for the full premium. Dental and vision insurance is optional.

Primary Appointment						
Student Affiliation	Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
TGS	Maximum 20 hours/week.	100010	STU/GRA	MGW	60070* (Stipend – Payroll) 78020‡ (Tuition – SES)	No
Non-TGS	Maximum 15-20 hours/week.	TBD	STU/GRA	MGW	60079* (Stipend – Payroll) 78020‡ (Tuition – SES)	No

Additional Compensation for Services	
Allowed?	Rules
Yes, with permission	TGS PhD and MFA students must request permission for any additional work beyond the TA responsibilities. The student may perform additional work, as long as the total from all roles does not exceed 20 hours/week. Additional work may be paid from a sponsored account if allowed by the sponsor.

Fellowship (Internally Funded) (UF)

TGS and non-TGS graduate students selected on the basis of merit who are provided tuition assistance and a cost-of-living stipend on non-sponsored funds.

Health Insurance: Eligible through Risk Management. TGS subsidizes up to 100% of the annual health premium for TGS PhD and MFA students, enrolled full time in funded registration and a 65% subsidy for full-time TGS master’s students; all others are responsible for the full premium. Dental and vision insurance is optional.

Primary Appointment						
Student Affiliation	Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
TGS or Non-TGS	None	100009	STU/GRA	MGW	78060† (Stipend – Payroll) 78020‡ (Tuition – SES)	For non-service support when allowed by sponsor; effort report <i>is not</i> generated.

Additional Compensation for Services	
Allowed?	Rules
Yes, with permission	TGS PhD and MFA students must request permission for any additional work beyond the fellowship appointment. The student may perform additional work, as long as the total from all roles does not exceed 20 hours/week.

Fellowship (Externally Funded)

TGS and non-TGS graduate students awarded external fellowships (including NRSA) that provide tuition assistance and a cost-of-living stipend.

Health Insurance: Eligible through Risk Management. TGS subsidizes up to 100% of the annual health premium for TGS PhD and MFA students, enrolled full-time in funded registration and a 65% subsidy for full-time TGS master’s students; all others are responsible for the full premium. Dental and vision insurance is optional.

Primary Appointment

Student Affiliation	Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
TGS <i>or</i> Non-TGS	None	108238	STU/GRA	MGW	78050† (Stipend – Payroll) 78020‡ (Tuition – SES)	For non-service support when allowed by sponsor; effort report <i>is not</i> generated.

Additional Compensation for Services

Allowed?	Rules
Yes, with permission	TGS PhD and MFA students must request permission for any supplemental work beyond the fellowship appointment (if supplemental work is permitted by the external fellowship sponsor). The student may perform additional work, as long as the total from all roles does not exceed 20 hours/week.

Postdoctoral Fellow

Individuals who have completed a research or health professional doctorate (e.g. PhD, MD, MD/PhD, etc.) and are engaged in mentored research and training. Postdoctoral Fellows are considered staff employees.

Health Insurance: Eligible for regular employee benefits if appointment is 50% full-time or greater. If less than 50%, employee may be eligible under the Affordable Care Act if ACA conditions are met.

Primary Appointment					
Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
Considered a regular staff position with a job description and work requirements.	100006	STF/EXM	MON	60030*	Yes; effort report <i>is</i> generated.

Supplemental (Non-Services) Payment					
Allowed?	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
Yes	106379	NON/SUP	MON	78080†	Yes; effort report <i>is not</i> generated.

Additional Compensation for Services	
Allowed?	Rules
Yes	May receive additional compensation for unrelated, non-recurring work using an Additional Pay Form. May be paid using an additional Temp Non-Student appointment (Job Code 100029; account 60111*).

Postdoctoral Fellow, Department of Education

Individuals who have completed a research or health professional doctorate (e.g. PhD, MD, MD/PhD, etc.), are engaged in mentored research and training, and are funded by a U.S. Department of Education training grant based in the Feinberg School of Medicine.

Health Insurance: Eligible through Garnett Powers; a portion of the premium may be paid by the training grant.

Primary Appointment

Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
No work requirement; time must be devoted to research training.	105565	NON/PDC	MON	78080†	Yes; effort report <i>is not</i> generated.

Supplemental (Non-Services) Payment

Allowed?	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
Yes; may be used to add to the stipend of the primary appointment.	106380	NON/SUP	MON	78080†	No

Additional Compensation for Services

Allowed?	Rules
Yes, with mentor approval.	<p>May receive additional compensation for up to a maximum of 10 hours/week. May be paid from a sponsored project, but must be unrelated to postdoctoral training and cannot be paid from a source related to the training. Additional job should be set up as a Temp Non-Student (Job Code 100020) paid from account 60111*.</p> <p>May hold a Clinical Instructor appointment (Job Code 100026), but that appointment must be co-terminus with the primary Postdoctoral appointment, ending at the same time. Clinical Instructor appointment does not confer eligibility for Northwestern employee benefits.</p>

NRSA Postdoctoral Fellow

Individuals who have completed a research or health professional doctorate (e.g. PhD, MD, MD/PhD, etc.) and who are recipients of a National Research Service Award (NRSA) Postdoctoral Fellowship, a fellowship funded by the National Institutes of Health (e.g. T32, F32 grant mechanisms).

The NRSA Postdoctoral Fellowship supports promising applicants during their mentored postdoctoral training under the guidance of outstanding faculty sponsors. The training plan for a postdoc fellow should facilitate the fellow’s transition to the next stage of their career. For more information, see: <http://grants.nih.gov/grants/guide/pa-files/PA14-149.html# Part 2. Full>.

Health Insurance: Eligible through Garnett Powers; a portion of the premium may be paid by the T32 training grant or the F32 fellowship.

Primary Appointment

If an existing Northwestern Postdoctoral Fellow receives an NRSA Fellowship, the employee’s existing postdoctoral appointment should be placed on a leave of absence (LOA/NRS). Then, the NRSA Postdoctoral Fellow must be set up as an additional job record using the values below:

Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
No work requirement; time must be devoted to research training.	100091	NON/PDC	MON	78080†	Yes; effort report <i>is not</i> generated.

Supplemental (Non-Services) Payment

Allowed?	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
Yes; may be used to add to the stipend of the primary appointment.	102019	NON/SUP	MON	78080†	No

Additional Compensation for Services

Allowed?	Rules
Yes, with mentor approval.	<p>May receive additional compensation for up to a maximum of 10 hours/week. May be paid from a sponsored project, but must be unrelated to postdoctoral training and cannot be paid from a source related to the training. Additional job should be set up as a Temp NonStudent (Job Code 100020) paid from account 60111*.</p> <p>May hold a Clinical Instructor appointment (Job Code 100026), but that appointment must be co-terminus with the primary NRSA appointment, ending at the same time. Clinical Instructor appointment does not confer eligibility for Northwestern employee benefits.</p>

NRSA Senior Fellow

Northwestern faculty member with seven years of research experience beyond the doctorate who is awarded a National Research Service Award (NRSA) Senior Fellowship for career development (F33 grant mechanism). Cannot be a non-resident.

The NRSA Senior Fellowship provides support to experienced scientists who wish to make major changes in the direction of their research careers or who wish to broaden their scientific background by acquiring new research capabilities as independent research investigators in scientific health-related fields. For more information, see: http://grants.nih.gov/grants/guide/pa-files/PA-14-151.html#_Part_2_Full.

Health Insurance: Eligible through Garnett Powers; a portion of the premium may be paid by the F33 fellowship.

Appointment

This appointment is granted as an *additional* appointment for existing faculty members. During the time that the NRSA Senior Fellow appointment is active, the employee’s existing faculty appointment(s) should be placed on a leave of absence. Then, the NRSA Senior Fellow must be set up as an additional job record using the values below:

Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
No work requirement; time must be devoted to research training.	104091	FAC/REG	MOF	78080†	No

Supplemental (Non-Services) Payment

Allowed?	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
Yes; may be used to add to the stipend of the primary appointment.	106381	NON/SUP	MOF	78080†	No

Additional Compensation for Services

Allowed?	Rules
Yes	May hold a Clinical Instructor appointment (Job Code 100026), but that appointment must be co-terminus with the primary NRSA appointment, ending at the same time. Clinical Instructor appointment does not confer eligibility for Northwestern employee benefits.

Visiting Pre-Doctoral Fellow

Pre-doctoral candidates at another institution that are visiting Northwestern and are not paid directly by Northwestern payroll. Payment for a Visiting Pre-Doctoral Fellow may occur via one of the following Cases:

1. Paid by their home institution, and the PI is at Northwestern;
2. Paid by their home institution, and the PI is located at the home institution;
3. Paid by their home institution but reimbursed to that institution through a formal contract with Northwestern, and the PI is at Northwestern. This typically occurs when the PI has transferred to Northwestern from another institution, but the student remains at the former institution.

For more information regarding visiting pre-docs, see:

<http://www.research.northwestern.edu/policies/visitingpredoctoral-students.html>.

Health Insurance: The individual’s home institution should be providing health insurance. If the individual is a nonresident, health insurance requirements should be vetted with the International Office during the appointment process.

Primary Appointment					
Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
None	100016	NON/VSC	OTH	N/A	Rare; only allowed via a subcontract to the home institution (see Case #3 above).

Supplemental (Non-Services) Payment					
Allowed?	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
Yes. Living expenses must be paid by the home institution or external source, but Northwestern may provide an additional living supplement, <i>except</i> in Case #3 above. Payroll needs TWO position appointment forms – one for the visit itself and another one for the supplemental pay.	106382	NON/SUP	MON	78050†	If living stipends are allowed by the sponsor; effort report <i>is not</i> generated.

Additional Compensation for Services	
Allowed?	Rules
No	If compensation must be provided directly by Northwestern, the employee should be classified as a regular paid employee, not a visiting pre-doctoral fellow.

Visiting Postdoctoral Fellow

Individuals who have completed a research or health professional doctorate (e.g. PhD, MD, MD/PhD, etc.) and are engaged in mentored research and training not paid directly by Northwestern. Visiting Postdoctoral Fellows are not staff employees. Payment for a Visiting Postdoctoral Fellow may occur via one of the following cases:

1. Paid by their home institution, and the PI is at Northwestern;
2. Paid by their home institution, and the PI is located at the home institution;
3. Paid by their home institution but salary is reimbursed to that institution through a formal contract with Northwestern, and the PI is at Northwestern. This typically occurs when the PI has transferred to Northwestern from another institution, but the individual remains at the former institution.
4. An existing Northwestern Postdoctoral Fellow is receiving funding directly from an outside source. In this case, the Northwestern Postdoctoral Fellow appointment is placed on an unpaid leave of absence and a new Visiting Postdoctoral Fellow appointment is created.

Health Insurance: The individual’s home institution should be providing health insurance. If the individual is a nonresident, health insurance requirements should be vetted with the International Office during the appointment process.

Primary Appointment					
Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
None	103073	NON/VSC	OTH	N/A	Rare; only allowed via a subcontract to the home institution (see Case #3 above).

Supplemental (Non-Services) Payment					
Allowed?	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
Yes. Living expenses must be paid by the home institution or external source, but Northwestern may provide an additional living supplement, <i>except</i> in Cases #3 and #4 above.	106383	NON/SUP	MON	78080†	If living stipends are allowed by the sponsor; effort report <i>is not</i> generated.

Additional Compensation for Services	
Allowed?	Rules
No	If compensation must be provided directly by Northwestern, the employee should be classified as a regular paid employee, not a visiting postdoctoral fellow.

Visiting Scholar

Individual who is visiting Northwestern and is appointed for one year or less. Visiting Scholars may be assigned as a CoInvestigator but may not be the Principal Investigator on a project. Visiting Scholars are compensated in one of two Cases:

1. Paid by their home institution, and the PI is at Northwestern;
2. Paid by their home institution but reimbursed to that institution though a formal contract with Northwestern, and the PI is at Northwestern. This typically occurs when the PI has transferred to Northwestern from another institution, but the scholar remains at the former institution.

Health Insurance: The individual’s home institution should be providing health insurance. If the individual is a nonresident, health insurance requirements should be vetted with the International Office during the appointment process.

Primary Appointment					
Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
None	100007	NON/VSC	OTH	N/A	Rare; only allowed via a subcontract to the home institution (see Case #2 above).

Supplemental (Non-Services) Payment					
Allowed?	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
Yes. Living expenses must be paid by the home institution or external source, but Northwestern may provide an additional living supplement, <i>except</i> in Case #2 above.	106384	NON/SUP	MON	78080†	If living stipends are allowed by the sponsor; effort report <i>is not</i> generated.

Additional Compensation for Services	
Allowed?	Rules
Sometimes	Additional compensation is allowed <i>only</i> in Case #1 above, and may be provided via a Special Pay Form. In Case #2, all compensation must be included in the formal contract between Northwestern and the home institution.

REU Trainees

The REU program supports undergraduate students who are participating in research funded by the National Science Foundation (NSF). Support may be provided to undergraduates participating in research at a designated NSF-funded “REU Site” or via an “REU Supplement” provided as a component of a specific NSF grant.

To participate in an REU program, students apply directly to REU Sites or to NSF-funded investigators who receive REU Supplements. Recipients must be a U.S. citizen, U.S. national, or permanent resident.

For a complete list of REU Sites and contacts, see: http://www.nsf.gov/crssprgm/reu/reu_search.jsp. For more information about the REU program, see: http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5517&from=fund

Health Insurance: Northwestern undergraduates are eligible through Student Health Services; visiting REU students are eligible through Risk Management.

Primary Appointment

Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
No other Northwestern work is permitted during the training program.	101856	STU/UNG	MGW	78010†	Yes; effort report is <i>not</i> generated.

Additional Compensation for Services

Allowed?	Rules
No	The REU training program prohibits any other type of work during the program.

Non-NRSA General Medical Education (GME) Interns and Residents

MDs and PhDs in a training program operated by the McGaw Medical Center. These individuals are paid through GME’s offsite payroll, and the sponsoring Northwestern department is directly invoiced for the pay by McGaw.

Health Insurance: Eligible through the McGaw GME program.

Primary Appointment

Work or Training Requirements	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
None	N/A	N/A	N/A	78084 (invoiced directly by McGaw)	Yes; effort report <i>is not</i> generated.

Supplemental (Non-Services) Payment

Allowed?	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
No	N/A	N/A	N/A	N/A	N/A

Additional Compensation for Services

Allowed?	Rules
No	N/A

Temporary Employees

Temporary appointments provided for hourly-based short-term payments, usually less than 6 months in length. Temporary jobs are paid biweekly and must have hours recorded into Workforce Software (WFS) by the employee or the employee’s manager. Temporary jobs may be provided to students, non-students, and existing temporary employees. Active biweekly- or monthly-paid Northwestern employees may also receive a short-term temporary assignment with the proper approvals.

Health Insurance: Depends on the individual’s relationship to Northwestern.

- Undergraduate Student: eligible through Student Health Services
- Graduate Student: eligible through Risk Management
- Non-Northwestern Student or Employee: not eligible; subject to the Affordable Care Act (ACA) provisions

Primary Appointment

Temporary Employee Type	Description	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
Temp Staffing Center Employee	A temp employees sourced through and managed by the Northwestern Temporary Staffing Center	104198	N/A	BIT	60113*	Yes; Workforce Software timecard is the effort report.
Temp Non-Student	Any temporary employee who is not an Northwestern student and is not sourced through the Temp Center	100020	N/A	BIT	60111*	Yes; Workforce Software timecard is the effort report.
Temp Student	A temporary employee who is an active Northwestern graduate or undergraduate student, <i>except</i> work-study students.	100029	N/A	BIT	60120*	Yes; Workforce Software timecard is the effort report.
Temp Student (Graduate Union)	A temporary employee who is an active Northwestern graduate student, <i>except</i> work-study students (union)	108349	N/A	BIT	60120*	Yes; Workforce Software timecard is the effort report.
WorkStudy Student	Full-time undergraduate students who have received a work-study allotment as part of their financial aid package	<i>various</i>	N/A	BIT	60122* (Reg Work-Study) 60123* (America Reads) 60124* (Medill) 60125* (Community Svc) 60121* (Teaching Asst)	Yes; Workforce Software timecard is the effort report.

Supplemental (Non-Services) Payment

Allowed?	Job Code	Position Type/Category	Pay Group	Expense Account	Chargeable to a Sponsored Award?
No	N/A	N/A	N/A	N/A	N/A

Additional Compensation for Services

Allowed?

Rules

Yes

Employees may have multiple temporary appointments. For work-study students, maximum hours and maximum allotted pay are monitored by the Work-Study Office.
 Under the ERISA law, non-student temporary employees may work no more than 1,000 hours in a rolling 12-month period.